



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Swarn S. Kalsi  
Patent No. : 6,768,232  
Issue Date : July 27, 2004  
Serial No. : 09/696,363  
Filed : October 25, 2000  
Title : HIGH TEMPERATURE SUPERCONDUCTOR ROTOR FOR A  
SYNCHRONOUS MACHINE

Art Unit : 2834  
Examiner : B. Mullins

Attn.: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF REQUEST FOR CERTIFICATE OF CORRECTION

Applicant hereby requests that a certificate of correction be issued for the above patent in accordance with the attached request.

All errors sought to be corrected were made in printing by the Patent and Trademark Office, and no fee is believed to be due. Attached is a copy of the Part B Fee Transmittal PTOL-85 form which was filed with the issue fee. This document correctly lists the recorded assignee as American Superconductor Corporation.

**Certificate  
AUG 1 2 2005  
of Correction**

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit August 8, 2005  
Signature *Matthew G. Doherty*  
Matthew G. Doherty  
Typed or Printed Name of Person Signing Certificate

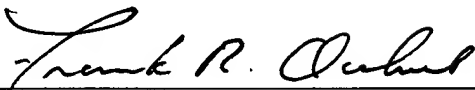
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Page : 2 of 2

Attorney's Docket No.: 05770-146001 / AMSC-535  
Keep it Cold CIP

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: August 8, 2005

  
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Frank R. Occhiuti  
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Staple  
Here  
Only

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,768,232 *My*  
DATED : JULY 27, 2004  
INVENTOR(S) : SWARN S. KALSI

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please correct the Assignee to read:

**American Superconductor Corporation, Westborough, MA (US)**

MAILING ADDRESS OF SENDER:

Frank R. Occhiuti  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110

PATENT NO. 6,768,232

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## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
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26161

FISH & RICHARDSON P.C.  
225 FRANKLIN STREET  
BOSTON, MA 02110-2804

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Matthew G. Roberts	(Depositor's name)
<i>Matthew G. Roberts</i>	(Signature)
05/10/04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/696,363	10/25/2000	Swaro S. Kalsi	05770-146001	4609

TITLE OF INVENTION: HIGH TEMPERATURE SUPERCONDUCTOR ROTOR FOR A SYNCHRONOUS MACHINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330		\$1360	07/09/04

EXAMINER	ART UNIT	CLASS-SUBCLASS
MULLINS, B.	2834	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish &amp; Richardson P.C.

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

American Superconductor Corporation

Delaware

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

Director of Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

*Frank R. Occhiuti*Frank R. Occhiuti  
35,306

(Date) May 10, 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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